

#2

IN THE
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Marcos Esterman, Jr.

Serial No.:

Examiner:

Filing Date: May 10, 2001

Group Art Unit:

Title: APPARATUS FOR DOWNLOADING DOCUMENTATION

10974 U.S. PTO
09/052875
05/10/01

COMMISSIONER FOR PATENTS
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- ☒ under 37 CFR 1.97(b), or
(Within three months of filing national application; or date of entry of national application; or before mailing date of first office action on the merits; whichever occurs last)
- ☐ under 37 CFR 1.97 (c) together with either a:
☐ Statement under 37 CFR 1.97(e), or
☐ a \$240.00 fee under 37 CFR 1.17(p), or
(After the CFR 1.97 (b) time period, but before final action or notice of allowance, whichever occurs first)
- ☐ under 37 CFR 1.97 (d) together with a:
☐ Statement under 37 CFR 1.97(e), and
☐ a petition under 37 CFR 1.97(d)(2), and
☐ a \$130.00 petition fee set forth in 37 CFR 1.17(i).
(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

Please charge to Deposit Account **08-2025** the sum of \$0.00. At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account **08-2025** pursuant to 37 CFR 1.25.

☒ Applicant(s) submit herewith Form PTO 1449 - Information Disclosure Citation together with copies, of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

☐ A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individuals(s) designated in 37 CFR 1.56 (c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

It is requested that the information disclosed herein be made of record in this application.

Respectfully submitted,

Marcos Esterman, Jr.

By

Thomas R. Fitzsimons

Attorney/Agent for Applicant(s)
Reg. No. 40,607

Date: May 10, 2001

Telephone No.: (312) 360-0080

I hereby certify that this paper is being deposited with the United States Postal Service as EXPRESS MAIL in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on May 10, 2001.
Express Label No.: E1846224085US
Signature: David C. WCM
EXPRESS.WCM
Appr. February 20, 1998

10005691-1

PATENT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re U.S. Patent Application)

Applicant: Marcos Esterman, Jr.)

Serial No.)

Filed: May 10, 2001)

For: APPARATUS FOR DOWNLOADING)
DOCUMENTATION)

Art Unit:)

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Daniel Canan

EXPRESS WCM Appr. February 20, 1998

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In accordance with 37 C.F.R. §§1.56, 1.97 and 1.98, Applicants through
counsel herewith submit copies of the publications as set forth in the attached form PTO-
1449 as follows:

U.S. PATENT DOCUMENTS

| <u>PATENT NO.</u> | <u>PATENTEE</u> | <u>ISSUE DATE</u> |
|-------------------|-----------------|-------------------|
| 5,640,193 | Wellner | Jun. 17, 1997 |
| 5,913,210 | Call | Jun. 15, 1999 |

| | | |
|-----------|----------------|---------------|
| 5,938,726 | Reber et al. | Aug. 17, 1999 |
| 5,986,651 | Reber et al. | Nov. 16, 1999 |
| 6,012,102 | Shachar | Jan. 4, 2000 |
| 6,098,106 | Philyaw et al. | Aug. 1, 2000 |
| 6,199,048 | Hudetz et al. | Mar. 6, 2001 |

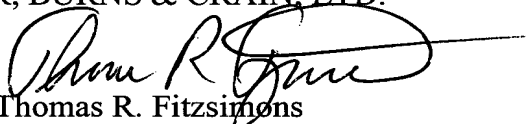
Applicants respectfully request that the Examiner consider the above-listed references in the examination of this application and list these references of record in the application.

The Commissioner is hereby authorized to charge any additional fees which may be required in this application under 37 C.F.R. §§1.16-1.17 to Deposit Account No. 08-2025.

Respectfully submitted

GREER, BURNS & CRAIN, LTD.

By


 Thomas R. Fitzsimons
 Registration No. 40,607

May 10, 2001
 Suite 2500
 300 South Wacker Drive
 Chicago, Illinois 60606
 Tel.: (312) 360-0080
 Fax.: (312) 360-9315
 Customer No. 24978

PATENT APPLICATION

Sheet 1 of 1

FORM PTO-1449

LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANT'S INFORMATION DISCLOSURE
STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.

10005691-1

SERIAL NO.

APPLICANT

Marcos Esterman, Jr.

FILING DATE

May 10, 2001

GROUP

1c974 U.S. PTO
09/852875
08/10/01

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUB CLASS |
|---------------------|----|--------------------|---------------|----------------|-------|--------------|
| | 1A | 5,640,193 | Jun. 17, 1997 | Wellner | | |
| | 1B | 5,913,210 | Jun. 15, 1999 | Call | | |
| | 1C | 5,938,726 | Aug. 17, 1999 | Reber et al. | | |
| | 1D | 5,986,651 | Nov. 16, 1999 | Reber et al. | | |
| | 1E | 6,012,102 | Jan. 4, 2000 | Shachar | | |
| | 1F | 6,098,106 | Aug. 1, 2000 | Philyaw et al. | | |
| | 1G | 6,199,048 | Mar. 6, 2001 | Hudetz et al. | | |
| | 1H | | | | | |
| | 1I | | | | | |
| | 1J | | | | | |
| | 1K | | | | | |

FOREIGN PATENT DOCUMENTS

| | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUB CLASS | TRANSLATION | |
|--|----|--------------------|------|------|-------|--------------|-------------|----|
| | | | | | | | YES | NO |
| | 1L | | | | | | | |
| | 1M | | | | | | | |
| | 1N | | | | | | | |
| | 1O | | | | | | | |
| | 1P | | | | | | | |

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

| | | |
|--|----|--|
| | 1Q | |
| | 1R | |
| | 1S | |

EXAMINER

DATE CONSIDERED